

Atty. Docket No.
005313.00003



PATENT

#7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Marc D. VanHeyningen et al.

U.S. Pat. App. No.: 09/783,146

Filed: February 13, 2001

Examiner:

Group Art Unit: 2151

For: **METHOD AND APPARATUS FOR PROVIDING SECURE STREAMING DATA TRANSMISSION FACILITIES USING UNRELIABLE PROTOCOLS**

INFORMATION DISCLOSURE STATEMENT

RECEIVED

JUN 05 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2100

Sir:

Pursuant to his duty of disclosure under 37 C.F.R. §1.56, Applicant brings the following documents to the attention of the Examiner in the above-identified patent application:

- (1) U.S. Patent No. 6,029,245 to Scanlan, issued February 22, 2000; and
- (2) U.S. Patent No. 6,185,567 to Ratnaraj et al., issued February 6, 2001.

A PTO-1449 form is included herewith listing these documents, and a copy of each of these documents is attached. These documents were cited in an International Search Report for a related PCT application, a copy of which is also attached.

The International Search Report is in English. Therefore, Applicant respectfully urges that further comment is unnecessary in accordance with 37 C.F.R. 1.98(a)(3). However, Applicant respectfully points out that the International Search Report classifies these documents in category X.

A first Official Action has not yet issued for this application. Therefore, it is respectfully urged that no fees are required for the Examiner's consideration of the documents listed in this Information Disclosure Statement.

Accordingly, it is respectfully requested that the documents listed above be considered by the Examiner in the above-identified patent application and that they be made officially of record therein. It is further requested that a listing of the same appear on the face of any patent that may issue from this application.

Respectfully submitted,

BANNER & WITCOFF, LTD.

By: Thomas L. Evans

Thomas L. Evans, Reg. No. 35,805
1001 G Street, N.W., 11th Floor
Washington, D.C. 20001-4597
Telephone: (202) 508-9100
Facsimile: (202) 508-9299

May 31, 2002



Sheet 1 of 1

七

PTO-1449 (Modified)	ATTY. DOCKET NO.	SERIAL NUMBER
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		005313.00003
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		09/783,146
APPLICANT		
Marc D. VanHeyningen et al.		
FILING DATE		GROUP ART UNIT
February 13, 2001		2151

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.

EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.

Ids w/1449 form filed: May 31, 2002

JUN 03 2002

PTO/SB/21 (08-00)

#7

Please type a plus sign (+) inside this box

Approved for use through 10/31/2002. OMB 0651-0031

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 09/783,146

Filing Date February 13, 2001

First Named Inventor Marc D. VanHeyningen et al.

Group Art Unit 2151

Examiner Name

Attorney Docket Number 005313.00003

ENCLOSURES (check all that apply)

 Fee Transmittal Form Assignment Papers
(for an Application) After Allowance Communication to Group Fee Attached Drawing(s) Appeal Communication to Board of Appeals and Interferences Amendment / Response Licensing-related Papers Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief) After Final Petition Routing Slip (PTO/SB/69)
and Accompanying Petition Proprietary Information Affidavits/declaration(s) Petition to Convert to a
Provisional Application Status Letter Extension of Time Request Power of Attorney, Revocation
Change of Correspondence Address Other Enclosure(s) **RECEIVED** Express Abandonment Request Terminal Disclaimer

Technology Center 2100

JUN 05 2002

 Information Disclosure Statement CD, Number of CD(s) _____

1) PTO Form 1449;

2) References

3) Return Postcard

 Certified Copy of Priority
Document(s)

Remarks

 Response to Missing Parts/
Incomplete Application Response to Missing
Parts under 37 CFR
1.52 or 1.53The Commissioner is hereby authorized to charge any necessary fees, including
any fees under 37 CFR §1.16 or 37 CFR §1.17, to Deposit Account No. 19-0733.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Thomas L. Evans, Reg. No. 35,805, Banner & Witcoff, Ltd.

Signature

Date

May 30, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (Label No. _____) addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.